

-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

No.:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF

THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

Confirmation

5093

RECEIVED.

AUG 1 5 2003

GROUP 3600

DECLARATION FOR PATENT APPLICATION AND STATEMENTS OF SUZANNE P. CRANE, MARCUS SHEIRE, MARK D. SWEAZY, BONNIE SCHLEGEL AND JOAN PRAIRIE PURSUANT TO 37 C.F.R §1.48(a)

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and sole inventor (if only one name is listed below) or an original, first and joint (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

the specification of which was filed on October 12, 1999 as Application Serial No. 09/415,632 and was amended on January 9, 2003 and May 27, 2003 is amended herewith.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below, and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)			Priority Not Claimed
N/A			[]
Number	Country	Filing Date	
I hereby claim the application(s) liste	e benefit under 35 U.S.0 d below:	C. §119(e) of any U	nited States provisional
N/A			
Application Numb	per Filing Date		
§365(c) of any PC and, insofar as the disclosed in the paragraph of 35 U Patent and Trader as defined in 37 G	benefit under 35 U.S.C. T International application he subject matter of eaction United States application U.S.C. §112, I acknowled mark Office all information C.F.R. §1.56 which becand the national or PCT internation	n designating the Un ch of the claims of cation in the manna ge the duty to disclo n known to me to be me available between	ited States, listed below this application is not er provided by the first ese to the United States material to patentability en the filing date of the
N/A			·
Application Numb	per Filing Date	Status (Pater	nt, Pending, Abandoned)
all statements may these statements like so made are Title 18 of the Unit	nat all statements made he de on information and be were made with the know punishable by fine or im- ted States Code and that application or any patent is	lief are believed to le wledge that willful fat prisonment, or both, such willful false sta	pe true; and further that a lse statements and the under Section 1001 of
Assignment is exerthe Snell & Wilme	the Application Number a ecuted, I hereby authorize r, L.L.P., Customer No. 2 nber of said application w	e and request any a 20322, to insert abov	ttorney associated with
Inventor's signatur	or first joint inventor: Suza		_Date:
City/State:	S: 5249 Fennsylve Mew Hope MN	BAI'A	Zin Code: SS 42 0
Citizenship:	45		
	nd joint inventor: <u>Marcus</u>		
Inventor's signatur	e:	 	_Date:
City/State:	s <u>:</u>		Zip Code:
Citizenship:			

-2-

10655.7700

Sobelmh\PHX\1377285.1

Prior Foreign				Priority N	lot Claimed
Application(s) N/A					וּ
Number	Country		Filing Date		
I hereby claim the application(s) listed		35 U.S.C. §	§119(e) of any U	nited State	s provisional
N/A					
Application Number	er Filin	g Date	_		
I hereby claim the I §365(c) of any PCT and, insofar as the disclosed in the proparagraph of 35 U. Patent and Tradem as defined in 37 C prior application and	International a e subject matt rior United Sta S.C. §112, I ad ark Office all in .F.R. §1.56 wh	ipplication description descri	esignating the Un of the claims of ion in the manne the duty to disclo nown to me to be available betwee	nited States, f this applicer provided ose to the lameterial to en the filingen the filingent the filingent the filingen the filingent the f	listed below cation is not by the first United States patentability date of the
N/A Application Number		g Date	Status (Pater		h a m d a m a d \
	-	·	`	,	,
I hereby declare that all statements made these statements which is a made are partial to the unite the validity of the approximation.	e on informatio vere made with ounishable by fi ed States Code	n and belief the knowle ne or impris and that su	f are believed to ledge that willful fasonment, or both, ch willful false sta	be true; and alse statem , under Sed	d further that ents and the ction 1001 of
In the event that the Assignment is executed the Snell & Wilmer the application number	cuted, I hereby , L.L.P., Custor	authorize a ner No. 203	and request any a 22, to insert abo	attorney ass	sociated with
Full name of sole or	r first ioint inven	itor: Suzann	e P. Crane		
Inventor's signature	:			Date:	
Residence Address City/State:				Zip Code	·
Citizenship:					·
Full name of second	d joint inventor:	1			
Inventor's signature			·	Date:	7-10-03
Residence Address	: 1540 0	<u> SCEOLA</u>	AVE	Zin Cada	
City/State: 5T.					
Citizenship:	<u> </u>	7157			

Sobelmh\PHX\1377285.1 -2- 10655.7700

Full name of third joint inventor: <u>Mark D. Sweazy</u>	
Inventor's signature: Mark D. Sweazy	Date: 7-//- 2003
Residence Address: 15201 Crown Drive	
City/State: MinneTonka, MN	Zip Code: 55345
Citizenship: US	
Full name of fourth joint inventor: Bonnie Schlegel	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of fifth joint inventor: <u>Joan Prairie</u> Inventor's signature: Residence Address: City/State: Citizenship:	
Full name of sixth joint inventor: Rockell Metcalf Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	

Full name of third joint inventor: Mark D. Sweazy	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of fourth joint inventor: Bonnie Schlegel	
Inventor's signature: Bornie Schlegel	Date: <i>_7<u>//</u>4/<u>0</u>3</i>
Residence Address: 543 847H CIRCLE NW	
City/State: 100N RAPIDS MN	Zip Code: <u>55433</u>
Citizenship: U.S.	
Full name of fifth joint inventor: <u>Joan Prairie</u>	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of sixth joint inventor: Rockell Metcalf	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	

Full name of third joint inventor: Mark D. Sweazy	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of fourth joint inventor: Bonnie Schlegel	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of fifth joint inventor: Jean Prairie	
Inventor's signature: Year Share	Date: <u> </u>
Residence Address: (2) 115 W 28th Street	
	Zip Code: <u>55ⴣ。 </u>
Citizenship: USA	
Full name of sixth joint inventor: Rockell Metcalf	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	

Full name of third joint inventor: Mark D. Sweazy	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
•	
Full name of fourth joint inventor: Bonnie Schlegel	
Inventor's signature:	Date:
Residence Address:	
City/State:	Zip Code:
Citizenship:	
Full name of fifth joint inventor: <u>Joan Prairie</u>	
Inventor's signature:	Date:
Residence Address:	
City/State:	
Citizenship:	
Full name of sixth joint inventor: Rockell Metcalf	
Inventor's signature: Rural Nutres	Date: <u>7-17-03</u>
Residence Address: 101 Woarles UST. Apt. 2R	
City/State: NY NY	Zip Code: <u>/00/</u> ス
Citizenship: USA	•

-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

DIVIDING A REMITTANCE AND

DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

Confirmation No.:

5093

STATEMENT OF SUZANNE P. CRANE PURSUANT TO 37 C.F.R §1.48(a)

I, Suzanne P. Crane, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7-16-03

Street/Mailing Address

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AUG 1 5 2003 GROUP 3600 OF FACSIMILE/MAILING PURSUANT TO 37 C.F.R. §1.8

I hereby certify that this correspondence, along with accompanying documents, pursuant to 37 C.F.R. §1.8, are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

August 8, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

DIVIDING A REMITTANCE AND No.:

Confirmation

5093

DISTRIBUTING A PORTION OF THE FUNDS TO MULTIPLE

INVESTMENT PRODUCTS

REQUEST TO CORRECT INVENTORSHIP **PURSUANT TO 37 C.F.R. § 1.48(A)**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

AUG 1 5 2003

GROUP 3600

Dear Commissioner:

Pursuant to 37 C.F.R. §1.48(a), Applicant hereby requests correction of the inventorship in the above-identified application. Specifically, Applicant requests the addition of inventor Rockell Metcalf, as also set forth in the Preliminary Amendment filed herewith.

This Request is accompanied by Declarations signed by each of the inventors, Suzanne P. Crane, Joan Prairie, Bonnie Schlegel, Marcus Sheire and Mark D. Sweazy, which include a statement that the error in inventorship occurred without deceptive intention on their part. In addition, written consent of the assignee is enclosed herewith.

Serial No. 09/415,632 Docket No. 10655.7700

Also enclosed herewith is our Check No. 294791 in the amount of \$130.00 to cover the fee required by 37 C.F.R. §§1.17(i) and 1.48. The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 C.F.R. §1.16 and any patent application processing fees under 37 C.F.R. §1.17 associated with this communication, or credit any overpayment, to Deposit Account No. 19-2814. A duplicate of this Petition is enclosed.

Respectfully submitted,

Dated: August 8, 2003

Howard I. Sobelman Reg. No. 39,038

SNELL & WILMER L.L.P.

400 E. Van Buren One Arizona Center Phoenix, Arizona 85004 Phone: 602-382-6228

Fax: 602-382-6070

Email: hsobelman@swlaw.com



-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

Confirmation

No.:

5093

DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF

THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

STATEMENT OF MARCUS SHEIRE PURSUANT TO 37 C.F.R §1.48(a)

I, Marcus Sheire, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7- 10- 03

<u>| [540 05CEOLA</u> | Street/Mailing Address

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AUG 1 5 2003

GROUP 3600



-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655,7700

Serial No.:

09/415.632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

No.:

Confirmation

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

5093

DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF

THE FUNDS TO MULTIPLE **INVESTMENT PRODUCTS**

STATEMENT OF MARK D. SWEAZY PURSUANT TO 37 C.F.R §1.48(a)

I, Mark D. Sweazy, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7-11-2003

Mark D. Sweazy

Mark D. Sweazy

15201 Crown Drive

Street/Mailing Address

MinneTonka MN 55345
City State Zip Code

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GROUP 3600



-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

No.:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR

Confirmation

5093

DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF

THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

STATEMENT OF BONNIE SCHLEGEL PURSUANT TO 37 C.F.R §1.48(a)

I, Bonnie Schlegel, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 7/14/03

Borrie Schlegel.

AUG 1 5 2003

Bonnie Schlegel

GROUP 3600

543 847H CIRCLE NW Street/Mailing Address

CONPARIOS MA

Zip Code



-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

Richard C. Fults

Title:

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Confirmation No.:

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DIVIDING A REMITTANCE AND DISTRIBUTING A PORTION OF

THE FUNDS TO MULTIPLE INVESTMENT PRODUCTS

STATEMENT OF JOAN PRAIRIE PURSUANT TO 37 C.F.R §1.48(a)

I, Joan Prairie, hereby state that the error in inventorship in United States Patent Application Serial No. 09/415,632, filed on October 12, 1999, titled "System And Method For Dividing A Remittance And Distributing A Portion Of The Funds To Multiple Investment Products" occurred without deceptive intention.

Date: 07-23-2004

AUG 1 5 2003

an Prairie

Street/Mailing Address

Minneapolis MN

City

State



-- PATENT --

Inventor(s):

Suzanne P. Crane, et al.

Docket No.:

10655.7700

Serial No.:

09/415,632

Group Art Unit:

3628

Filing Date:

October 12, 1999

Examiner:

Richard C. Fults

Title:

SYSTEM AND METHOD FOR DIVIDING A REMITTANCE AND DISTRIBUTING A

Confirmation No.:

5093

PORTION OF THE FUNDS TO

MULTIPLE INVESTMENT PRODUCTS

CONSENT OF ASSIGNEE TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. §1.48(a) AND STATEMENT PURSUANT TO 37 C.F.R. §3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 RECEIVED

AUG 1 5 2003

Dear Commissioner:

GROUP 3600

American Express Travel Related Services Company, Inc. (hereinafter "Assignee"), a corporation having a place of business at General Counsel's Office, American Express Tower, World Financial Center, New York, New York 10285-4900, hereby consents to the correction of inventorship filed herewith in which inventor Rockell Metcalf is to be added as inventor in the above-captioned patent application.

Assignee certifies that to the best of Assignee's knowledge and belief, it is the Assignee of the entire right, title and interest in and to the above-identified patent application, and represents that the undersigned is a representative authorized and empowered to sign on behalf of Assignee.

Ownership of the above-identified patent application is established by virtue of the following documentary evidence that has been reviewed by the undersigned:

Assignments from the inventor(s) of the patent application identified above. The assignments were recorded in the Patent and Trademark Office at Reel 010682, Frame 0572 and at Reel 010682, Frame 0395.

	Assignee of Interest:
	American Express Travel Related Services
	Company, Inc.
Dated: 7-15-03	By: Tephen To no
	Printed Name: Skephen P Norman
	Title: <u>Secretary</u>